

"Express Mail" mailing label number TB835578392US

PTO/SB/01 (6-95)

Approved for use through: 10/31/98 OMB 0651-0032

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Type a plus sign (+) inside this box → ☐

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing    OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing	0010/PTO Rev. 6/95	U.S. Department of Commerce Patent and Trademark Office	Attorney Docket Number	M 6212A FPG/NHG
	First Named Inventor			Milstein, Norman
	COMPLETE IF KNOWN			
	Application Number			09/083,584
	Filing Date			May 21, 1998
	Group Art Unit			
Examiner Name				

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**STEROL ESTERS AS FOOD ADDITIVES**

the specification of which

(Title of the Invention)

☒ is attached hereto

OR

☒ was filed on (MM/DD/YYYY)

05/21/1998

as United States Application Number or PCT International

Application Number

09/083,584

and was amended on (MM/DD/YYYY)

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37 Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO
			<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority sheet attached hereto:

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	Additional provisional application numbers are listed on a supplemental priority sheet attached hereto.
60/069,790	12/16/1997	<input type="checkbox"/>

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**Express Mail**

Label No. EV400233859US

**DECLARATION**

Pag 2

I hereby claim the benefit under Title 35, United States Code §120 of any United States application(s), or §365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code §112.1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/072,434		05/04/1998	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority sheet attached hereto.

As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☐ Firm Name  Customer Number or label

OR

☒ List Attorney(s) and/or agent(s) name and registration number below:

Name	Registration Number	Name	Registration Number
Ernest G. Szoke	22,135	John E. Drach	32,891
Wayne C. Jaeschke	21,062	Martin G. Meder	34,674
Real J. Grandmaison	25,981	Glenn E. J. Murphy	33,539
Norvell E. Wisdom, Jr.	30,510		

☐ Additional attorney(s) and/or agent(s) named on a supplemental sheet attached hereto.

Please direct all correspondence to: ☐ Customer Number or label  OR ☒ Fill in correspondence address below

Name	John E. Drach						
Address	Henkel Corporation						
Address	140 Germantown Pike, Suite 150						
City	Plymouth Meeting	State	PA	ZIP	19462		
Country	USA	Telephone	610-832-2215	Fax	610-941-6067		

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned

Given Name	Norman	Middle Initial		Family Name	Milstein	Suffix e.g. Jr.	
Inventor's Signature	<i>Norman Milstein</i>				Date	7/8/98	
Residence: City	Montgomery	State	OH	Country	USA	Citizenship	USA
Post Office Address	9715 Zig Zag Road						
Post Office Address							
City	Montgomery	State	OH	Zip	45242	Country	USA
						Applicant Authority	

☒ Additional inventors are being named on supplemental sheet(s) attached hereto

**DECLARATION****ADDITIONAL INVENTOR(S)  
Supplemental Sheet**

<b>Name of Additional Joint Inventor, if any:</b>										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
<b>Given Name</b>		<b>Manfred</b>				<b>Middle Initial</b>				<b>Family Name</b>		<b>Biermann</b>				<b>Suffix e.g. Jr.</b>					
<b>Inventor's Signature</b>		<i>Manfred Biermann</i>										<b>Date</b>		<i>7/8/98</i>							
<b>Residence: City</b>		<b>Cincinnati</b>				<b>State</b>		<b>OH</b>		<b>Country</b>		<b>USA</b>				<b>Citizenship</b>		<b>Germany</b>			
<b>Post Office Address</b>		<b>10450 Grand Oaks Lane</b>																			
<b>Post Office Address</b>																					
<b>City</b>		<b>Cincinnati</b>				<b>State</b>		<b>OH</b>		<b>Zip</b>		<b>45242</b>		<b>Country</b>		<b>USA</b>		<b>Applicant Authority</b>			
<b>Name of Additional Joint Inventor, if any:</b>										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
<b>Given Name</b>		<b>Peter</b>				<b>Middle Initial</b>				<b>Family Name</b>		<b>Leidl</b>				<b>Suffix e.g. Jr.</b>					
<b>Inventor's Signature</b>		<i>Peter Leidl</i>										<b>Date</b>		<i>July 12<sup>th</sup> 1998</i>							
<b>Residence: City</b>		<b>Illertissen</b>				<b>State</b>				<b>Country</b>		<b>Germany</b>				<b>Citizenship</b>		<b>Germany</b>			
<b>Post Office Address</b>		<b>Adalbert-Stifter-Strasse 12</b>																			
<b>Post Office Address</b>																					
<b>City</b>		<b>89257 Illertissen</b>				<b>State</b>				<b>Zip</b>				<b>Country</b>		<b>Germany</b>		<b>Applicant Authority</b>			
<b>Name of Additional Joint Inventor, if any:</b>										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
<b>Given Name</b>		<b>Rainer</b>				<b>Middle Initial</b>				<b>Family Name</b>		<b>von Kries</b>				<b>Suffix e.g. Jr.</b>					
<b>Inventor's Signature</b>		<i>R. von Kries</i>										<b>Date</b>		<i>7/17/98</i>							
<b>Residence: City</b>		<b>Illertissen</b>				<b>State</b>				<b>Country</b>		<b>Germany</b>				<b>Citizenship</b>		<b>Germany</b>			
<b>Post Office Address</b>		<b>Rilke Strasse 2</b>																			
<b>Post Office Address</b>																					
<b>City</b>		<b>89257 Illertissen</b>				<b>State</b>				<b>Zip</b>				<b>Country</b>		<b>Germany</b>		<b>Applicant Authority</b>			
<b>Name of Additional Joint Inventor, if any:</b>										<input type="checkbox"/> A petition has been filed for this unsigned inventor											
<b>Given Name</b>						<b>Middle Initial</b>				<b>Family Name</b>						<b>Suffix e.g. Jr.</b>					
<b>Inventor's Signature</b>												<b>Date</b>									
<b>Residence: City</b>						<b>State</b>				<b>Country</b>						<b>Citizenship</b>					
<b>Post Office Address</b>																					
<b>Post Office Address</b>																					
<b>City</b>						<b>State</b>				<b>Zip</b>				<b>Country</b>				<b>Applicant Authority</b>			
<input type="checkbox"/> Additional inventors are being named on supplemental sheet(s) attached hereto																					

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED  
WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN  
AN ENVELOPE ADDRESSED TO: COMMISSIONER OF PATENTS AND  
TRADEMARKS, WASHINGTON, D.C. 20231, ON 8-7-98

*Rose A. Stowe*      Rose A. Stowe  
(SIGNATURE)      (DATE OF DEPOSIT)  
August 7, 1998  
(DATE OF SIGNATURE)

-----  
"Express Mail Post Office to Addressee" service Mailing Label Number  
EV400233859US

-----  
PATENT  
Docket No. M 6212C-NHG/ST

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of Milstein, et al., a Divisional Under  
37 C.F.R. § 1.53(b) of:

Serial No. 10/126,284

Examiner: Sabiha Naim Qazi

Filed: 04/19/02

Art Unit: 1616

TITLE: STEROL ESTERS AS FOOD ADDITIVES

**APPOINTMENT OF ASSOCIATE ATTORNEY AND/OR AGENT**

Mail Stop Patent Application  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

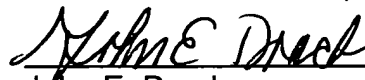
Sir:

I, John E. Drach (Reg. No. 32,891), hereby appoint **Steven J. Trzaska (Reg. No. 36,296)** and **Aaron R. Ettelman (Reg. No. 42,516)** as Associate Attorney and/or Agent as provided in 37 CFR 1.34 to transact all business with the U.S. Patent and Trademarks Office in connection with the above application and any continuation, division, and/or continuation-in-part thereof, and hereby ratify any and all acts done prior to such appointment in connection with the above application.

Address all correspondence to: Customer No. 23657

Direct all telephone calls to:  
Aaron R. Ettelman  
215-628-1413

Respectfully submitted,



John E. Drach  
(Reg. No. 32,891)  
Attorney of Record

Dated: November 5, 2003